

Administrative Fees

ITEM	FEE
Account Transfer-In	FREE
RRSP, Spousal RRSP, LIRA, RIF & LIF	FREE
TFSA	FREE
Deposit-Bill Payment (CAD Only)	FREE*
Deposit-Wire Transfer (CAD/USD)	FREE*†
Withdrawal-Electronic Fund Transfer (EFT)	FREE*††
Partial Transfer out	\$50 per account
Account Transfer Out	\$150 per account
Withdrawal-Wire Transfer (CAD/USD)-Canada	\$50*†
Withdrawal-Wire Transfer (USD)-International	\$100*
DAP (C.O.D) Transfer In/Out	\$50 per name
Courier (Canada)	\$45
Courier (US)	\$75
Courier (International)	\$100
Registered Mail	\$20
US RRSP, US Spousal RRSP & US LIRA	US \$15 per quarter
UK QROPS	US \$150 per year

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ITEM	FEE
US TFSA	US \$15 per quarter
RESP	\$25 per year
US RESP	US \$15 per quarter
Account Administration Fee	\$24.95 per quarter†††
Partial Deregistration Fee	\$25††††
Full Deregistration Fee	\$50††††
Lifelong learning plan (LLP)	\$25
Home buyer's plan (HBP) partial deregistration	\$25
Position Journal	\$25*
DRS (Direct Registration System)	\$200 USD per name
Certificate Deposit/Withdrawal	\$200 per Certificate / Name
Certificate Deposit/Withdrawal Rush	\$450 per Certificate / Name
Safekeeping of Certificate	\$50 per certificate / Month
Research Request (Minimum 1 hour)	\$75 per hour
Electronic Trade Confirmation (e-Confirmation)	FREE
Cheque Cancellation Fee	\$50
Electronic Monthly Statement (e-Statement)	FREE

Administrative Fees

ITEM	FEE
Paper Trade Confirmation	\$3
Paper Monthly Account Statement	\$5
Copy of Tax Statement	\$35 per account per year
Copy of Monthly Statement	\$20 per account per month
Option exercise/assignment	\$40
NSF Cheque/Returned Items	\$50
Stop Payment	\$25
Unclaimed property	\$29.95 per quarter
Estate transfer	\$250 per account
Legend Removal Rule 144/33	\$300 per name
Settlement of non-DTCeligible securities	\$450

** For cash or position movement from/to Commission-Free Account, \$25 will be charged in addition to Regular Fees.*

All administrative fees are in the currency of the applicable account.

† You may be charged by your financial institution for wiring out or receiving a wire.

†† Up to \$25,000 CDN/USD per day.

††† \$24.95 per quarter will be charged to each client's account if their combined total assets are lower than \$5,000 or they do not complete at least one trade with a minimum commission of \$1.99 per calendar quarter. Clients will be exempt from the account administration fee if they are under 26 years old or if their account is either a Commission-Free Trading Account, RESP account or a registered charity. If, in the following quarter after incurring the account administration fee, a client trades at least one trade with a minimum commission \$1.99, commissions will be reimbursed up to \$24.95 provided that the account remains opened at the end of the following quarter.

†††† Deregistration of TFSA withdrawals and RRIF minimum annual withdrawals are FREE.